

+

MAR 05 2004

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/779,922
				Filing Date	Feb 16, 2004
				First Named Inventor	Della-Libera
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	MS1-1857US
Sheet	1	of	4		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code2 (if known)			
/K.A./		5,446,903		Abraham et al.	08-29-1995	
		5,838,903		Blakely III et al.	11-17-1998	
		6,014,666		Helland et al.	01-11-2000	
		6,058,426		Godwin et al.	05-02-2000	
		6,061,684		Glasser et al.	05-09-2000	
		6,088,805		Davis et al.	07-11-2000	
		6,141,754		Choy	10-31-2000	
		6,233,576B1		Lewis	05-15-2001	
		6,279,111B1		Jensenworth et al.	08-21-2001	
		6,381,602B1		Shoroff et al.	04-30-2002	
		6,412,070B1		Van Dyke et al.	06-25-2002	
		6,434,607B1		Haverstock et al.	08-13-2002	
		6,446,206B1		Feldbaum	09-03-2002	
		6,449,633B1		Van et al.	09-10-2002	
		6,473,800B1		Jerger et al.	10-29-2002	
		6,513,712B1		Salmre et al.	02-04-2003	
		6,625,603B1		Garg et al.	09-23-2003	
		6,658,573B1		Bischof et al.	12-02-2003	

[illegible]

Examiner Signature	/Kaveh Abrishamkar/	Date Considered	08/28/2007
-----------------------	---------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

Please type a plus sign (+) inside this box →

+

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/779,922
		Filing Date	Feb 16, 2004
		First Named Inventor	Della-Libera
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	MS1-1857US
Sheet	2	of	4

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/K.A./		"Apache HTTP Server Version 2.0: Authentication, Authorization and Access Control," http://httpd.apache.org/docs-2.0/howto/auth.html , pp. 1-5 and 1-4, January 2004.	<input type="checkbox"/>
/K.A./		"X.509 Certificates and Certificate Revocation Lists (CRLs)", Sun Microsystems, Inc., pp. 1-5, May 2001.	<input type="checkbox"/>
/K.A./		Aaron Skonnard, "Understanding SOAP", Web Service Basics: Understanding SOAP (SOAP Technical Articles), pp. 1-12, March 2003.	<input type="checkbox"/>
/K.A./		Jeannine Hall Gailey, "Encrypting SOAP Messages Using Web Services Enhancements", Web Services Enhancements (WSE): Encrypting SOAP Messages Using Web Service Enhancements, pp. 1-14, March 2003.	<input type="checkbox"/>
/K.A./		Mohan Rao Cavale, "Dynamic Groups in Windows Server 2003 Authorization Manager", pp. 1-6, January 2003.	<input type="checkbox"/>
/K.A./		James Clark and Steve DeRose, "XML Path Language (XPath) Version 1.0", W2C Recommendation 16 November 1999, pp. 1-37, November 1999.	<input type="checkbox"/>
/K.A./		Park, et al., "An Efficient Stream Authentication Scheme", IEICE Trans. Inf. & Syst., Vol. E86-D, No. 4, pp. 704-711, April 2003.	<input type="checkbox"/>
/K.A./		Desmedt et al., "Perfectly Secure Message Transmission Revisited (Extended Abstract)", L.R. Knudsen (Ed.), Eurocrypt 2002, LNCS 2332, pp. 502-517, 2002.	<input type="checkbox"/>
/K.A./		Ren-Junn Hwang et al., "An Enhanced Authentication Key Exchange Protocol", Proceedings of the 17th International Conference on Advanced Information Networking and Applications (AINA '03), pages 3, March 2003.	<input type="checkbox"/>
/K.A./		Mohammed Al-Ibrahim and Josef Pieprzyk, "Authentication of Transit Flows and K-Siblings One-Time Signature", Advanced Communications and Multimedia Security, pp. 42-55, September 2002.	<input type="checkbox"/>
/K.A./		Matei Clobanu Morogan and Sead Muftic, "Certificate Management in Ad Hoc Networks", Department of Computer Science, Royal Institute of Technology, pp. 337-341, January 2003.	<input type="checkbox"/>

Examiner Signature	/Kaveh Abrishamkar/	Date Considered	08/28/2007
-----------------------	---------------------	--------------------	------------

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

Please type a plus sign (+) inside this box →

+

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete If Known	
		Application Number	10/779,922
		Filing Date	Feb 16, 2004
		First Named Inventor	Della-Libera
		Group Art Unit	
		Examiner Name	
Sheet	3	of	4
		Attorney Docket Number	MS1-1857US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/K.A./		David Geer, "Taking Steps to Secure Web Services", Technology News, IEEE Computer Society, pp. 14-16, October 2003.	<input type="checkbox"/>
/K.A./		Daniel Fremberg, "The Mithra Authentication Protocol", Dr. Dobb's Journal, www.ddj.com, pp. 44-48, May 2003.	<input type="checkbox"/>
/K.A./		M. Looi, "Enhanced Authentication Services for Internet Systems Using Mobile Networks", IEEE Global Telecommunications Conference, pp. 3468-3472, November 2001.	<input type="checkbox"/>
/K.A./		Matt Bishop, "What Is Computer Security?", IEEE Computer Society, pp. 67-69, January 2003.	<input type="checkbox"/>
/K.A./		Kimitake Wakayama et al., "A Remote User Authentication Method Using Fingerprint Matching", Nagoya Institute of Technology, Vol. 44, No. 2, pp. 401-404, February 2003.	<input type="checkbox"/>
/K.A./		Bin-Tsan Hsieh et al., "On The Security of Some Password Authentication Protocols", Informatica, Vol. 14, No. 2, pp. 195-204, 2003.	<input type="checkbox"/>
/K.A./		Chen Lin et al., "A Multi-Hierarchy Model for Role-Based Control", Journal of Huazhong University of Science and Technology (Nature Science Edition), Vol. 30, No. 2, pp. 102-104, February 2002.	<input type="checkbox"/>
/K.A./		Yeubin Bai and Hidetsune Kobayashi, "New String Matching Technology for Network Security", IEEE, pp. 198-201, March 2003.	<input type="checkbox"/>
/K.A./		Richard R. Rogoski, "Safe and Secure", Health Management Technology, www.healthmgtech.com, pages 4, December 2002.	<input type="checkbox"/>
/K.A./		Donald Beaver "Network Security and Storage Security: Symmetries and Symmetry-Breaking", IEEE, pp. 3-9, December 2003.	<input type="checkbox"/>
/K.A./		Sylvia Osborn, "Database Security Integration Using Role-Based Access Control", Department of Computer Science, The University of Western Ontario, Ch. 22, pp. 245-257, August 2000.	<input type="checkbox"/>

Examiner Signature	/Kaveh Abrishamkar/	Date Considered	08/28/2007
-----------------------	---------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

Please type a plus sign (+) inside this box →

+

Substitute for form 1449B/PTO				<div>Complete if Known</div> <div>Application Number 10/779,922</div> <div>Filing Date Feb 16, 2004</div> <div>First Named Inventor Della-Libera</div> <div>Group Art Unit</div> <div>Examiner Name</div> <div>Attorney Docket Number MS1-1857US</div>	
<div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>					
Sheet	4	of	4		

[illegible]

Examiner Signature	/Kaveh Abrishamkar/	Date Considered	08/28/2007
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10779922
	Filing Date		2004-02-16
	First Named Inventor	Giovanni M. Della-Libera	
	Art Unit	2131	
	Examiner Name	Kaveh Abrishamkar	
	Attorney Docket Number	MS1-1857US	

U.S. PATENTS

Remove

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/K.A./	1	5850446		1998-12-15	Berger et al.	
/K.A./	2	5996076		1999-11-30	Rowney et al.	
/K.A./	3	6002767		1999-12-14	Kramer	
/K.A./	4	6163772		2000-12-19	Kramer et al.	
/K.A./	5	6253027	B1	2001-06-26	Weber et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S. PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

FOREIGN PATENT DOCUMENTS

Remove

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10779922
Filing Date	2004-02-16
First Named Inventor	Giovanni M. Della-Libera
Art Unit	2131
Examiner Name	Kaveh Abrishamkar
Attorney Docket Number	MS1-1857US

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/Kaveh Abrishamkar/	Date Considered	08/28/2007
--------------------	---------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.